

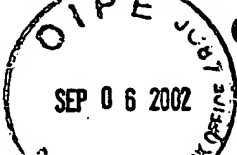
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09-09-02

PTO/SB/29 (1/98)

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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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	<b>CONTINUED PROSECUTION APPLICATION (CPA) REQUEST TRANSMITTAL</b>	*15/C.P.A. R. Ty 9/13/02
	Submit an original, and a duplicate for fee processing. (Only for Continuation or Divisional application under 37 CFR 1.53(d))	
		CHECK BOX, if applicable: <input type="checkbox"/> DUPLICATE

Address to:  <b>Commissioner for Patents Box CPA Washington, DC 20231</b>	Attorney Docket No.	81788.0025
	First Named Inventor	Seiichi MORI
	Express Mail Label No.	EL715816845US
	Total Pages	2

This is a request for a continued prosecution application (CPA) of prior application number 09/451,619, filed on November 30, 1999, entitled **NON-VOLATILE SEMICONDUCTOR MEMORY HAVING A DECREASED GATE LENGTH AND MANUFACTURING METHOD THEREOF**

#### NOTES

**FILING QUALIFICATIONS:** The prior application identified above must be nonprovisional application that is either: (1) complete as defined by 37 CFR 1.51(b), or (2) the national stage of an International application in compliance with 35 U.S.C. 371. Effective May 29, 2000, a CPA may only be filed in a utility or a plant application if the prior nonprovisional application was filed before May 29, 2000. A CPA may be filed in a design application regardless of the filing date of the prior application. See "Request for Continued Examination Practice changes to and Provisional Application Practice," Final Rule, 65 Fed. Reg. 50092 (Aug. 16, 2000); Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office (Apr. 11, 2000).

**C-I-P NOT PERMITTED:** A continuation-in-part application cannot be filed as a CPA under 37 CFR 1.53(d), but must be filed under 37 CFR 1.53(b).

**EXPRESS ABANDONMENT OF PRIOR APPLICATION:** The filing of the CPA is a request to expressly abandon the prior application as of the filing date of the request for a CPA. 37 CFR 1.59(b) must be used to file a continuation, divisional, or continuation-in-part of an application that is not to be abandoned.

**ACCESS TO PRIOR APPLICATION:** The filing of the CPA will be construed to include a waiver of confidentiality by the applicant under 35 U.S.C. 122 to the extent that any member of the public who is entitled under the provisions of 37 CFR 1.14 to access to, copies of, or information concerning the prior application may be given similar access to, copies of, or similar information concerning, the other application or applications in the file jacket.

**35 U.S.C. 120 Statement:** In a CPA, no reference to the prior application is needed in the first sentence of the specification and none should be submitted. If a sentence referencing the prior application is submitted it will not be entered. A request for a CPA is the specific reference required by 35 U.S.C. 120 and to every application assigned the application number identified in such request, 37 CFR 1.78(a)

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

- ☐ Enter the unentered amendment previously filed on \_\_\_\_ under 37 C.F.R. 1.116 in the prior nonprovisional application.
- ☐ A preliminary amendment is enclosed.
- This application is filed by fewer than all the inventors named in the prior application, 37 CFR 1.53(d)(4).
  - ☐ **DELETE** the following inventor(s) named in the prior nonprovisional application:  
[INVENTOR(S)' NAME(S) TO DELETE]
  - ☐ The inventor(s) to be deleted are set forth on a separate sheet attached hereto.
- ☐ A new power of attorney or authorization of agent (PTO/SB/81) is enclosed.
- Information Disclosure Statement (IDS) is enclosed:
  - ☐ PTO-1449
  - ☐ Copies of IDS Citations

Burden Hour Statement: This form is estimated to take 0.4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Box CPA, Washington, DC 20231.

09/10/2002 AHONDAF1 00000066 09451619

01 FC:131 740.00 OP  
02 FC:122 130.00 OP

CALCULATION OF FEES								
ITEM		TOTAL NO. OF CLAIMS		NO. OF CLAIMS OVER BASE	LG/SM \$ ENTITY FEE		\$ AMOUNT	\$ FEE
A	TOTAL CLAIMS FEE	9	-20	0	LG=\$18 SM=\$9	\$(FEE)	\$ 0	
B	INDEPENDENT CLAIMS FEE**	2	-3	0	LG=\$84 SM=\$42	\$(FEE)	\$ 0	
C	SUBTOTAL - ADDITIONAL CLAIMS FEE (ADD FINAL COLUMN IN LINES A + B)							\$ 0
D	MULTIPLE-DEPENDENT CLAIMS FEE				LARGE ENTITY FEE = \$280 SMALL ENTITY FEE = \$140		\$	
E	BASIC FEE				LARGE ENTITY FEE = \$740 SMALL ENTITY FEE = \$370		\$ 740	
F	TOTAL FILING FEE (ADD TOTALS FOR LINES C, D, AND E)							\$ 740
**LIST INDEPENDENT CLAIMS 21, 19								

6. Small entity status: Applicant claims small entity status. See 37 CFR 1.27.

7. The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 50 - 1314 :

a. ☒ Fees required under 37 CFR 1.16.

b. ☒ Fees required under 37 CFR 1.17.

c. ☐ Fees required under 37 CFR 1.18.

8. ☒ A check in the amount of \$ 710 is for filing fee and 130. for surcharge for suspension of prosecution is enclosed.

9. ☐ Payment by credit card. Form PTO-2038 is attached.

10. ☒ Applicant requests suspension of action under 37 CFR 1.103(b) for a period of 3 months (not to exceed 3 months) and the fee under 37 CFR 1.17(i) is enclosed.


11. ☐ New Attorney Docket Number, if desired [#####.#####]  
*[Prior application Attorney Docket Number will carryover to this CPA unless a new Attorney Docket Number has been provided herein.]*

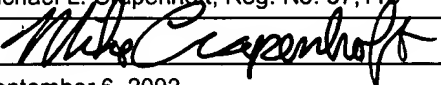
12. a. ☐ Receipt For Facsimile Transmitted CPA (PTO/SB/29A)

b. ☐ Return Receipt Postcard (Should be specifically itemized, See MPEP 503)

13. ☐ Other: \_\_\_\_\_

**NOTE:** The prior application's correspondence address will carry over to this CPA UNLESS a new correspondence address is provided below.

10. NEW CORRESPONDENCE ADDRESS					
<input checked="" type="checkbox"/> Customer Number or Bar Code Label		 26021 PATENT TRADEMARK OFFICE		or <input type="checkbox"/> New correspondence address below	
NAME	Michael L. Crapenhof				
	HOGAN & HARTSON L.L.P.				
ADDRESS	Biltmore Tower				
	500 South Grand Avenue Suite 1900				
CITY	Los Angeles	STATE	California	ZIP CODE	90071
COUNTRY	USA	TELEPHONE	(213) 337-6700	FAX	(213) 337-6701

11. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED	
NAME	Michael L. Crapenhof, Reg. No: 37,115
SIGNATURE	
DATE	September 6, 2002



PATENT  
Attorney Docket No: 81788.0025

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Seiichi MORI

Serial No: 09/451,619

Filed: September 5, 2002

For: NON-VOLATILE SEMICONDUCTOR MEMORY  
HAVING A DECREASED GATE LENGTH AND  
MANUFACTURING METHOD THEREOF

Art Unit: 2814

Examiner: Weiss, Howard

CERTIFICATE OF MAILING VIA U.S. EXPRESS MAIL

"Express Mail" Mailing Label No. EL715816845US

Date of Deposit: September 6, 2002

Box CPA  
Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

I hereby certify that

- ☒ two copies of Continued Prosecution Application (CPA) Request Transmittal
- ☒ check in amount of \$740 as filing fee and a check in the amount of \$130 for surcharge for suspension of prosecution
- ☒ return postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service with sufficient postage under 37 C.F.R. § 1.10 on the date indicated above and are addressed to:

Box CPA  
Commissioner for Patents  
Washington, D.C. 20231

Date: September 6, 2002

Hogan & Hartson, LLP  
500 South Grand Avenue, Suite 1900  
Los Angeles, California 90071  
Telephone: 213-337-6700  
Facsimile: 213-337-6701

Pablo Salcedo

Name of person mailing papers

Signature

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